. Imong e

PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031

January 12, 2004

Date

| Under the Paperwork Reduction Act of            | 1995, no person       |                                                        | information unless it displays a valid OMB control number.                         |  |  |
|-------------------------------------------------|-----------------------|--------------------------------------------------------|------------------------------------------------------------------------------------|--|--|
| TDANCMITTAL                                     | 7.                    | Application Number                                     | 09/905,482                                                                         |  |  |
| TRANSMITTAL FORM                                |                       | Filing Date                                            | July 13, 2001                                                                      |  |  |
| FORM                                            |                       | First Named Inventor                                   | BALDWIN                                                                            |  |  |
| (to be used for all correspondence after initia | al filing)            | Art Unit                                               | 1754                                                                               |  |  |
|                                                 |                       | Examiner Name                                          | Langel                                                                             |  |  |
| Total Number of Pages in This Submission        | 13                    | Attorney Docket Number                                 | 47354/261132                                                                       |  |  |
|                                                 | ENCLO                 | SURES (check all that apply)                           |                                                                                    |  |  |
| M                                               |                       |                                                        | After Allowance Communication to                                                   |  |  |
| Fee Transmittal Form                            | Drawir                | ng(s)                                                  | Group                                                                              |  |  |
| Fee Attached                                    | Licens                | ing-related Papers                                     | Appeal Communication to Board of Appeals and Interferences                         |  |  |
| Amendment / Reply                               | Petitio               | n<br>"                                                 | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)                  |  |  |
| After Final                                     |                       | n to Convert to a<br>onal Application                  | Proprietary Information                                                            |  |  |
| Affidavits/declaration(s)                       |                       | of Attorney, Revocation<br>e of Correspondence Address | Status Letter                                                                      |  |  |
| Extension of Time Request                       | Termin                | nal Disclaimer                                         | Other Enclosure(s) (please identify below):                                        |  |  |
|                                                 | Reque                 | est for Refund                                         | -PTO SB08a/b                                                                       |  |  |
| Express Abandonment Request                     |                       | umber of CD(s)                                         | -3 publications - Return postcard                                                  |  |  |
| Information Disclosure Statement                | 05,                   | amoor or object,                                       |                                                                                    |  |  |
| Certified Copy of Priority Document(s)          | Rema                  | rks                                                    |                                                                                    |  |  |
| Response to Missing Parts/                      |                       | <del></del>                                            | •                                                                                  |  |  |
| Incomplete Application                          |                       |                                                        |                                                                                    |  |  |
| Response to Missing Parts under 37 CFR          |                       |                                                        |                                                                                    |  |  |
| 1.52 or 1.53                                    |                       | · · · · · · · · · · · · · · · · · · ·                  |                                                                                    |  |  |
|                                                 | TURE OF A             | APPLICANT, ATTORNEY, O                                 | R AGENT                                                                            |  |  |
| Firm or Individual name  BRUCE D. GRAY          | Y, Reg. No            | . 35,799                                               |                                                                                    |  |  |
| Signature 21                                    |                       |                                                        |                                                                                    |  |  |
| Date January 12,                                | Date January 12, 2004 |                                                        |                                                                                    |  |  |
|                                                 | CEI                   | RTIFICATE OF MAILING                                   |                                                                                    |  |  |
|                                                 | lass mail in          |                                                        | or deposited with the United States Postal ommissioner for Patents, P.O. Box 1450, |  |  |
| Typed or printed name KATHLEEN B                |                       |                                                        |                                                                                    |  |  |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Signature

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|                                                       | ı '                  | • •               |
|-------------------------------------------------------|----------------------|-------------------|
| FEE TO ANOMITTAL                                      |                      | Complete if Known |
| FEE TRANSMITTAL                                       | Application Number   | 09/905,482        |
| for FY 2003                                           | Filing Date          | July 13, 2001     |
| 8                                                     | First Named Inventor | Baldwin, et al.   |
| JAN 1 3 Alle W                                        | Examiner Name        | Langel            |
| Applicant claims small entity status. See 37 CFR 1.27 | Art Unit             | 1754              |
| TOTAL AMOUNT OF PAYMENT (\$) 180.0                    | Attorney Docket No.  | 47354/261132      |

| TOTAL AMOUNT OF PAYMENT   (\$) 180.0 |                      |                             |                                          |     | Attorn                      | ey Dock   | et No.       | 4/30     | 94/201132                                                  |          |
|--------------------------------------|----------------------|-----------------------------|------------------------------------------|-----|-----------------------------|-----------|--------------|----------|------------------------------------------------------------|----------|
|                                      | ETHOD OF PA          | AYMENT (check a             | ll that apply)                           |     | FEE CALCULATION (continued) |           |              |          |                                                            |          |
| ⊠ Check □                            | Cradit pard          | □ Manay □ /                 | Othor D None                             |     | 3. AC                       | OITIO     | NAL FE       | ES       |                                                            |          |
|                                      |                      | ☐ Money ☐ 0<br>Order        | other   None                             |     | <u>Large</u>                | Entity    | Small I      | Entity   |                                                            |          |
| ☐ Deposit Acc                        | unt:                 |                             |                                          |     | Fee                         | Fee .     | Fee          | Fee      | Fee Description                                            | Fee Paid |
| Deposit                              | 44.005               |                             |                                          | ٦ · | Code                        | (\$)      | Code         | (\$)     | •                                                          | - Talu   |
| Account<br>Number                    | 11-085               | 5                           |                                          |     | 1051<br>1052                | 130<br>50 | 2051<br>2052 | 65<br>25 | Surcharge - late filing fee or oath                        | <u> </u> |
|                                      |                      |                             |                                          | _   | 1052                        | 50        | 2052         | 25       | Surcharge - late provisional filing fee<br>or cover sheet. |          |
| Deposit<br>Account                   | KII DA               | TDICK STO                   | CKTON LLP                                |     | 1053                        | 130       | 1053         | 130      | Non-English specification                                  |          |
| Name                                 | KILPA                | I KICK STC                  | CKION LLF                                |     | 1812                        | 2,520     | 1812         | 2,520    | For filing a request for reexamination                     |          |
|                                      | uthorized to         | : (check all that a         | apply)                                   | _   | 1804                        | 920*      | 1804         | 920*     | Requesting publication of SIR prior to<br>Examiner action  |          |
|                                      |                      |                             | y overpayments<br>dency of this applicat | on  | 1805                        | 1,840*    | 1805         | 1,840*   | Requesting publication of SIR after<br>Examiner action     |          |
|                                      |                      | ow, except for t            | ne filing fee                            |     | 1251                        | 110       | 2251         | 55       | Extension for reply within first month                     |          |
| to the above-ide                     |                      |                             |                                          |     | 1252                        | 410       | 2252         | 205      | Extension for reply within second                          |          |
|                                      | FÉE                  | CALCULATION                 |                                          |     | j                           |           |              |          | month                                                      |          |
| 1. BASIC                             | ILING FEE            |                             |                                          |     | 1253                        | 930       | 2253         | 465      | Extension for reply within third month                     | 475.00   |
| Large Entity                         | Small Entity         | Fan Banadasi                |                                          |     | 1254                        | 1,450     | 2254         | 725      | Extension for reply within fourth month                    |          |
| Fee Fee<br>Code (\$)                 | Fee Fee<br>Code (\$) | Fee Descripti               | <u>on</u><br>Fee Pale                    | 1   | 1255                        | 1,970     | 2255         | 985      | Extension for reply within fifth month                     |          |
| 1001 750                             | 2001 375             | Utility filing fee          |                                          |     | 1401                        | 320       | 2401         | 160      | Notice of Appeal                                           | 165.00   |
| 1002 330                             | 2002 165             | Design filing fe            |                                          | _   | 1402                        | 320       | 2402         | 160      | Filing a brief in support of an appeal                     |          |
| 1003 520                             | 2003 260             | Plant filing fee            |                                          | _   | 1403                        | 280       | 2403         | 140      | Request for oral hearing                                   |          |
| 1004 750                             | 2004 375             | Reissue filing              |                                          |     | 1451                        | 1,510     | 1451         | 1,510    | Petition to institute a public use proceeding              |          |
| 1005 160                             | 2005 80              | Provisional filli           | ng ree                                   |     | 1452                        | 110       | 2452         | 55       | Petition to revive – unavoidable                           |          |
|                                      | SUBTO                | TAL (1)                     | (\$) 0                                   | _   | 1453                        | 1,300     | 2453         | 650      | Petition to revive - unintentional                         |          |
|                                      |                      |                             |                                          |     | 1501                        | 1,300     | 2501         | 650      | Utility issue fee (or reissue)                             |          |
| 2. EXTRA CL                          | AIM FEES F           |                             | ND REISSUE                               |     | 1502                        | 470       | 2502         | 235      | Design issue fee                                           |          |
|                                      |                      | Extra<br>Claims             | Fee from Fee below Paid                  |     | 1503                        | 630       | 2503         | 315      | Plant issue fee                                            |          |
| Total Claims                         | -20 **               | = 0 x                       | = 0                                      |     | 1460                        | 130       | 1460         | 130      | Petitions to the Commissioner                              |          |
| Independent                          |                      |                             |                                          | ₹.  | 1807                        | 50        | 1807         | 50       | Processing fee under 37 CFR 1.17 (q)                       |          |
| Ctaims                               | -3 **                | = 0 X                       | = 0                                      |     | 1806                        | 180       | 1806         | 180      | Submission of Information Disclosure Stmt                  | 180.00   |
| Multiple<br>Dependent                |                      | х                           | = 0                                      |     |                             |           |              |          | Recording each patent assignment                           |          |
|                                      | O                    | .414                        |                                          |     | 8021                        | 40        | 8021         | 40       | per property (times number of properties)                  |          |
| Large Entity Fee Fee                 | Small En             | Enn                         |                                          |     | 1809                        | 750       | 2809         | 375      | Filing a submission after final rejection                  |          |
| Code (\$)                            | 1                    | (\$) Fee Desc               | ription                                  |     |                             |           | 2303         |          | (37 CFR § 1.129(a))                                        |          |
| 1202 18                              |                      |                             | excess of 20                             |     | 1810                        | 750       | 2810         | 375      | For each additional invention to be                        |          |
| 1201 84                              |                      | •                           | ent claims in excess of                  |     |                             |           |              |          | examined (37 CFR § 1.129(b))                               | $\vdash$ |
| 1203 280                             | 2203                 | •                           | ependent claim, if not p                 |     | 1801                        | 750       | 2801         | 375      | Request for Continued Examination (RCE)                    |          |
| 1204 84                              | 2204                 | original pa                 |                                          |     | 1802                        | 900       | 1802         | 900      | Request for expedited examination of a design application  |          |
| 1205 18                              | 2205                 | 9 ** Reissue<br>over origin | e claims in excess of 2                  | and | Other fo                    | ee (speci | fy)          | _        | or a acought appropriate                                   |          |
|                                      |                      | SUBTOTAL (2)                | (\$) 0                                   |     |                             | ced by Ba |              | a Fee Pa | aid SUBTOTAL (3) (\$)180                                   |          |
| **or number pre                      | riously paid, if ar  | reater; For Reissues        | s. see above                             |     |                             | ,         |              |          | (\$)160                                                    | .00      |
| 2                                    |                      |                             |                                          |     |                             |           |              |          |                                                            |          |

| SUBMITTED BY      |               |                                      |        | Co        | omplete (if applicable) |
|-------------------|---------------|--------------------------------------|--------|-----------|-------------------------|
| Name (Print/Type) | BRUCE D. GRAY | Registration No.<br>(Attorney/Agent) | 35,799 | Telephone | 404-815-6218            |
| Signature         |               |                                      |        | Date      | JANUARY,. 2004          |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

|             | Baldwin, et al.                                                                               | }           | •      |
|-------------|-----------------------------------------------------------------------------------------------|-------------|--------|
| Serial No.: | 09/905,482                                                                                    | } Art Unit: | 1754   |
| Filed:      | July 13, 2001                                                                                 | } Examiner: | Langel |
| For:        | METHODS AND APPARATUS FOR<br>CONTROLLED GENERATION OF<br>HYDROGEN BY DISSOCIATION OF<br>WATER | }<br>}<br>} | ·      |

Kathleen Bennett

Assistant Commissioner for Patents P. O. Box 1450 MS AF Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with Rules 56, 97 and 98 of the Rules of Practice in Patent Cases (37 C.F.R. §§ 1.56, 1.97, and 1.98), the publications listed on the attached PTO/SB/08a are submitted for consideration by the Examiner.

Submission of the references provided in this Information Disclosure is not intended to constitute an admission that any reference referred to herein is prior art for this invention unless specially designated as such. Also, in accordance with 37 C.F.R. § 1.97(g), the filing of this 01/16/2004 SSESHE1 00000033 09905482 180.00 0P

U.S.S.N.: 09/905,482

Filed: July 13, 2001

For: Methods And Apparatus For Controlled Generation Of Hydrogen By Dissociation Of Water SUPPLEMENTAL DISCLOSURE STATEMENT

Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The documents disclosed herein were cited in the International Search Report for corresponding PCT application number US01/22142. A copy of each document is enclosed.

Our check in the amount of \$180.00 is enclosed for payment of the fee due under 37 CFR § 1.17(p). The Commissioner is authorized to charge any additional fees due or credit any overpayments to Deposit Account No. 11-0855.

Respectfully submitted,

Bruce D. Gray

Reg. No. 35,779

Date: 1/10 , 2004

KILPATRICK STOCKTON LLP Suite 2800, 1100 Peachtree Street Atlanta, Georgia 30309-4530 (404) 815-6218 Attorney Docket No. 47354/261132

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE rk Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| Substitute             | e for form 1449A/PTC  |        |            | Complete if Known      |                 |  |  |  |
|------------------------|-----------------------|--------|------------|------------------------|-----------------|--|--|--|
| INFORMATION DISCLOSURE |                       |        |            | Application Number     | 09/905482       |  |  |  |
|                        |                       |        |            | Filing Date            | July 13, 2001   |  |  |  |
| STA                    | TATEMENT BY APPLICANT |        |            | First Named Inventor   | Baldwin, et al. |  |  |  |
| •                      |                       |        |            | Art Unit               | 1754            |  |  |  |
|                        | (Use as many she      | ets as | necessary) | Examiner Name          | Langel          |  |  |  |
| Sheet                  | 1                     | of     | 1          | Attorney Docket Number | 47354/261132    |  |  |  |

| U.S. PATENT DOCUMENTS |                 |                                            |                                                    |                                      |                                        |
|-----------------------|-----------------|--------------------------------------------|----------------------------------------------------|--------------------------------------|----------------------------------------|
| Examiner Cite         | Document Number | Publication Date                           | Name of Patentee or Applicant of<br>Cited Document | Pages, Columns, Lines, Where Relevan |                                        |
| Initials *            | No.1            | Number - Kind Code <sup>2</sup> (if known) | MM-DD-YYYY                                         |                                      | Passages or Relevant<br>Figures Appear |
|                       |                 | US 4,155,172                               | 05/22/1979                                         | Taschek                              |                                        |
| •                     |                 |                                            |                                                    |                                      |                                        |
|                       |                 |                                            |                                                    |                                      |                                        |
|                       | ,               |                                            |                                                    |                                      |                                        |
|                       |                 |                                            |                                                    |                                      |                                        |
|                       |                 |                                            |                                                    |                                      |                                        |
|                       |                 |                                            |                                                    |                                      |                                        |
|                       |                 |                                            |                                                    |                                      |                                        |
|                       |                 |                                            |                                                    |                                      |                                        |
|                       |                 |                                            |                                                    |                                      |                                        |
|                       |                 |                                            |                                                    |                                      |                                        |
|                       |                 |                                            |                                                    |                                      |                                        |

| FOREIGN PATENT DOCUMENTS                                                                       |                         |                                                                           |                                                                                         |                                                                                                                                                             |                                                                                                                                                                                                                                                                                                              |  |  |
|------------------------------------------------------------------------------------------------|-------------------------|---------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|
|                                                                                                | Foreign Patent Document | Publication                                                               | Name of Patentee or                                                                     | Pages, Columns, Lines,                                                                                                                                      |                                                                                                                                                                                                                                                                                                              |  |  |
| Cite No. 1 Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) | Date<br>MM-DD-YYYY      | Applicant of Cited<br>Document                                            | Passages or Relevant<br>Figures Appear                                                  | T <sup>6</sup>                                                                                                                                              |                                                                                                                                                                                                                                                                                                              |  |  |
|                                                                                                | JP 56 059601            | 08/11/1981                                                                | Suzuki Masahiro                                                                         |                                                                                                                                                             |                                                                                                                                                                                                                                                                                                              |  |  |
|                                                                                                | FR 2 288 548 A          | 05/21/1976                                                                | Broult Etienne                                                                          |                                                                                                                                                             |                                                                                                                                                                                                                                                                                                              |  |  |
|                                                                                                | Cite No.1               | Cite No. 1  Country Code3 - Number4 - Kind Code5 (if known)  JP 56 059601 | Foreign Patent Document   Publication   Date   MM-DD-YYYY     JP 56 059601   08/11/1981 | Cite No. 1 Foreign Patent Document Publication Date MM-DD-YYYY Publication Date MM-DD-YYYY Publication Document Publication Date MM-DD-YYYY Suzuki Masahiro | Cite No.1  Country Code³ - Number⁴ - Kind Code⁵ (if MM-DD-YYYY  Date MM-DD-YYYY  Date MM-DD-YYYY  JP 56 059601  Publication Date MM-DD-YYYY  Name of Patentee or Applicant of Cited Document  Passages or Relevant Figures Appear  Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |  |  |

|                        |              | NON PATENT LITERATURE DOCUMENTS                                                                                                                                                                                                                                 |                |
|------------------------|--------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
| Examiner<br>Initials * | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                        |              |                                                                                                                                                                                                                                                                 |                |

| Examiner<br>Signature | Date<br>Considered |     |  |
|-----------------------|--------------------|-----|--|
| J                     |                    | I · |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.